Data Protection Notice

Processing of personal data in the context of criminal investigations conducted by EPPO

In accordance with Regulation (EU) 2018/1725 of 23 October 2018 on data protection (hereinafter the Regulation), the European Climate, Infrastructure and Environment Executive Agency (hereafter CINEA) collects your personal data only to the extent necessary to fulfil the precise purpose related to its tasks.

1. The controller is CINEA:
   a. CINEA A2 Legal Affairs, External audits and Administrative Finance
      Chaussée de Wavre 910
      W910 02/006
      BE – 1049 Brussels
   b. Head of Unit
   c. Email: CINEA-ANTI-FRAUD@ec.europa.eu

2. The purpose of the processing is:
   To exchange information between the EPPO and the Agency. This includes the reporting by the Agency to the EPPO of any suspicions of criminal conduct in respect of which the EPPO could exercise its competence and/or supporting the EPPO by contributing on a need-to-know basis to the investigations carried out by the EPPO by providing relevant information.

3. The data subjects concerned by this notice are:

   Within the Agency:
   • Agency’s staff identified by the Agency in the context of reporting duties to EPPO;
   • Agency’s staff possibly identified by the EPPO investigation;
   • Natural persons who have provided information to EPPO including informants, whistle-blowers, witnesses and persons giving statements;
   • Agency’s staff of the relevant unit(s), whose names appear in documents processed;
   • Other persons whose name may appear in the case file.

   Outside of the Agency:
   • Natural persons who may possibly be considered persons concerned by the EPPO investigation, such as:
     • staff members or representatives of applicants in calls for proposals, beneficiaries of grant agreements managed by the Agency, experts (evaluation, monitoring, etc), candidates in procurement procedures and contractors of the Agency;
     • staff members or representatives of other legal entities involved in public contracts or grant agreements managed by the Agency, if the suspicion of potential fraud,
corruption and other unlawful activities under Regulation (EU, Euratom) No 883/2013 concerns them;

- Natural persons who have provided information to EPPO including informants, whistleblowers, witnesses and persons who have provided statements;
- EPPO staff involved in the case;
- (Delegated) Prosecutors and national authorities involved;
- Other persons whose name may appear in the case file.

4. The **categories of personal data** collected and used for the processing operations are:

- Identification data: surname, forename, birthday, birthplace, address, telephone number, e-mail address, personal number (where applicable);
- Professional data: profession, organisation, function, CV;
- Data relating to reporting to the EPPO: description of the potential case, activities and information related to matters which could be the subject of investigation;
- Case involvement data: description of the case, activities and information related to matters which may be the subject of investigation, comments of the person;
- Data relating to financial aspects (pre-financing, recovery orders, timesheets in order to provide evidence of payments made to beneficiaries or contractors (who are suspected of fraudulent or other illegal activity) or of decision to suspend or terminate such beneficiaries or contractors;
- Data contained in reports (interim, final) in case of natural persons (staff members/representatives/members of scientific team) in organisations (beneficiaries of grant agreements, etc.) or in case of Agency staff (probation and evaluation reports...);
- Data fields which fall under Article 10 are only processed where strictly necessary and proportionate within a given case and based on grounds under Article 10(2) of the Regulation.

5. The **recipients** of the data are:

All recipients are on a "need to know" basis:

*Within the Agency*, the following recipients will have access to the data:
- The Director of the Agency;
- Authorised members of the Agency staff;
- The EPPO correspondents within the Agency.

*Outside of the Agency:*

EPPO case handlers/responsible staff.

**Other potential recipients may be:**
- Members of the Steering Committee of the Agency
- EPPO correspondents within the European Commission and/or other Executive Agencies
- The EDES Panel referred to in Article 143 of the Financial Regulation
- In case of audits or proceedings, etc., the Agency’s external auditors and/or Internal Controller, Data Protection Officer, Legal Affairs Sector, etc. may also get access to this data.
In addition, data may be disclosed to public authorities, which are not regarded as recipient in accordance with Union and Member State law. The processing of those data by those public authorities shall comply with the applicable data protection rules according to the purpose of the processing:

- The European Court of Justice or a national judge as well as the lawyers and the agents of the parties in case of a legal procedure;
- IDOC in line with Commission Decision of 12 June 2019 laying down general implementing provisions on the conduct of administrative inquiries and disciplinary proceedings - C(2019)4231 and Commission Decision (EU) 2019/165 of 1 February 2019 Internal rules concerning the provision of information to data subjects and the restriction of certain of their data protections rights in the context of administrative inquiries, pre-disciplinary, disciplinary and suspension proceedings;
- The competent Appointing Authority in case of a request or a complaint lodged under Articles 90 of the Staff Regulations;
- The Internal Audit Service of the Commission within the scope of the tasks entrusted by article 118 of the Financial Regulation and by article 49 of the Regulation (EC) No 1653/2004;
- The Court of Auditors within the tasks entrusted to it by Article 287 of the Treaty on the Functioning of the European Union and Article 20, paragraph 5 of Regulation (EC) No 58/2003;
- The European Ombudsman within the scope of the tasks entrusted to it by Article 228 of the Treaty on the Functioning of the European Union;
- The European Data Protection supervisor in accordance with Article 58 of the Regulation (EC) 2018/1725.

This transmission is restricted to the information necessary for the legitimate performance of tasks within the competence of the recipient. The recipients of the data are reminded of their obligation not to use the data received for other purposes than the one for which they were transmitted.

6. **Data Subjects rights:** You can exercise your rights by sending an email with the requested change(s) to the controller via the functional mailbox indicated here-above in Section 1.

However, in line with Article 25 of the Regulation, the data controller may restrict the rights of the data subjects based on the Decision of the Steering Committee (2020) 26 of 14/10/20 (OJEU L 45 on 9.2.2021, p. 80), in case where such restriction constitutes a measure necessary to safeguard the protection of the data subjects or the rights and freedoms of other data subjects, etc.

7. **How does CINEA protect and safeguard your data?**

Relevant organisational and technical measures are taken by the Agency to ensure the security of your personal data. Access to your data is restricted on an individual need to know basis and through User-ID and password: data can be accessed via authentication system. Your data resides on the servers of the European Commission, which abide by strict security measures implemented by DG DIGIT to protect the security and integrity of the relevant electronic assets. CINEA is also bound by Commission Decision 2017/46 of 10/1/17 on the security of communications & information systems in the EC.

An Outlook functional mailbox is created and used solely for the purpose of handling EPPO cases and is under SECEM encryption: access to this mailbox is restricted on a need-to-know basis to the staff members such as the Head of the Legal Affairs Sector and EPPO correspondents/staff of the Legal Affairs Sector designated to handle these cases. Documents related to the EPPO cases may be stored in ARES with the relevant safeguards (access via ECAS password and authentication).
Paper files related to EPPO cases are processed under strict confidentiality via a sealed envelope transmitted hand to hand between the relevant staff authorised to process this information. Paper files are then kept in a locked cupboard accessible only to a limited number of authorised staff in line with the retention rules (see above).
Reporting to EPPO follows the format of the EPPO crime report, via the available secured tools. The processing of your data will not include automated decision-making.

8. **The legal basis of the processing** are:

The legal basis for the processing based on Article 5(1) of the Regulation:

- the processing is necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the Agency;
- the processing is necessary for compliance with a legal obligation to which the controller is subject, such as:
  - Council Regulation 58/2003 of 19 December 2002, laying down the Statute for executive agencies to be entrusted with certain tasks in the management of EU programmes;
  - Commission Decision C(2021)947 of 12 February 2021 delegating powers to the European Climate, Infrastructure and Environment Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of transport and energy infrastructure; climate, energy and mobility research and innovation; environment, nature and biodiversity; transition to low-carbon technologies; and maritime and fisheries;
  - Communication of the Commission on Guidelines for the establishment and operation of executive agencies financed by the general budget of the European Communities (SEC(2006) 662 of 31 May 2006);
  - Articles 317 and 325 of the Treaty of the Functioning of the European Union (Official Journal of the European Union, C 326, 26 October 2012)

The Agency may process special categories of personal data under Articles 10(2) of the Regulation in cases where:

- the processing is necessary for the establishment, exercise or defence of legal claims or whenever the Court of Justice is acting in its judicial capacity (Article 10(2)(f))
- the processing is necessary for reasons of substantial public interest, on the basis of Union law which shall be proportionate to the aim pursued, respect the essence of the right to data protection and provide for suitable and specific  

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1 OJ L 11 of 16.01.2003, p. 1  
2 OJ L 50, 15.2.2021, p. 9–28
measures to safeguard the fundamental rights and the interests of the data subject (Article 10(2)(g))
c. The data subject has given explicit consent to the processing of those personal data for one or more specified purposes (Article 10(2)(a))
d. Processing relates to personal data which are manifestly made public by the data subject (Article 10(2)(e))

9. The **time limits for keeping** the data are the following:

In accordance with the 2022 Retention List of the Commission:
Personal data shall be stored for no longer than is necessary for the achievement of the purposes for which the data were collected or further processed.
According to the Common Retention List (CLR) the personal data collected (electronic and paper format) and related to this processing will be kept for a maximum period of 5 years after closure of the file (CLR – under point 2.4.9).
For the files on the cooperation of the Agency with EPPO in its investigations regarding natural persons within the Agency (CLR-under point 12.4.4): 15 years after the closure of the file that have given rise to investigations and 5 years after the closure of the files that have not given rise to investigations.

10. **Contact information:**

In case you have any questions about the collection/processing of your personal data, you may contact the data controller who is responsible for this processing activity by using the email address mentioned here above in Section 1.

You may contact at any time the Data Protection Officer of the Agency ([CINEA-DPO@ec.europa.eu](mailto:CINEA-DPO@ec.europa.eu)). You have the right to have recourse at any time to the European Data Protection Supervisor ([edps@edps.europa.eu](mailto:edps@edps.europa.eu)).