



EUROPEAN CLIMATE, INFRASTRUCTURE AND
ENVIRONMENT EXECUTIVE AGENCY (CINEA)

RECORD OF PERSONAL DATA PROCESSING ACTIVITY

In accordance with Article 31 of the [Data Protection Regulation for EUIs](#)¹ (hereinafter referred to as the Regulation), individuals whose personal data are processed by the European Climate, Infrastructure and Environment Executive Agency (hereinafter referred to as CINEA or Agency) in any context whatsoever are to be protected with regard to the processing of personal data and the Agency has to keep records of their processing activities.

Record No: 2020-001/R4-HR-01
Created on (date): 14/08/20
Last update (date): 07/07/21

NAME OF THE PROCESSING ACTIVITY

Selection and recruitment of statutory (Temporary Agents and Contract Agents) and non-statutory staff (Bluebook trainees, Atypical trainees & Interim staff)

GROUND FOR THE RECORD

- Regularisation of a data processing activity already carried out
This record replaces notifications HR-1 /1 REV, HR-7, HR 29 & 31 issued under the previous Data Protection Regulation
- Record of a new data processing activity prior to its implementation
- Change of a data processing activity (e.g.: update of a record).

¹ Regulation (EU) 2018/1725 of 23 October 2018

MANDATORY RECORD UNDER ARTICLE 31 OF THE REGULATION²

1.1. Name and contact details of controller

- a. Unit CINEA.A4 – Human Resources, IT and Logistics
CINEA
Chaussée de Wavre 910
W910 03/004
BE – 1049 Brussels
- b. Head of Unit CINEA.A4
- c. Email: CINEA-HR-RECRUITMENT@ec.europa.eu

1.2. Name and contact details of the Data Protection Officer (DPO)

CINEA Data Protection Officer
CINEA-DPO@ec.europa.eu

1.3. Name and contact details of joint controller (where applicable)

Not applicable

1.4. Name and contact details of processor (where applicable)

For the e-recruitment tool: Talent Soft – Specific Contract No 03 TENTEA under Framework Contract No DI/6820 – TALENTSOFT, 8 Rue Heyrault, 92100 Boulogne-Billancourt – France; contact-ts@talentsoft.com

DG EAC – SLA signed in 08/11/2018, Traineeship's Office, J-70 3/020, EAC Bureau des Stages: eac-stages@ec.europa.eu

DG HR - Service Level Agreement signed on 08/01/2018, HR-BXL-HEALTH-CONTRACTS@ec.europa.eu

PMO - Service Level Agreement signed on 05/11/2018; PMO-DATA-PROTECTION@ec.europa.eu

OIB – Service Level Agreement signed on 24/08/2011; OIB-DATA-PROTECTION-COORDINATOR@ec.europa.eu

For the recruitment of interim staff: Randstad Interim Agency – Framework Contract HR/R3/PR/2014/078 – Rue des Princes 8-10, 1000 Bruxelles, inhouse_1230@randstad.be

1.5. Purpose of the processing

The purpose of the processing is to receive applications, evaluate, select and recruit the best candidates for the positions available in CINEA, in compliance with the requirements of the Staff Regulation and CEOS, implementing rules and vacancy notice for each post.

The data processing aims at ensuring compliance with all requirements and proper management of staff working on the Agency, including, for interim agents, the verification of invoices and hours worked.

² *This part of the record will be published on CINEA website and should be aligned with the information provided in the DP notice*

1.6. Legal basis for the processing

Lawfulness: Article 5 . 1 (a) & (c) of the Regulation:

-processing is necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the Union institution or body;

- processing is necessary for the performance of a contract to which the data subject is party or in order to take steps at the request of the data subject prior to entering into a contract;

The legal basis references which apply to the selection are contained in:

- Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes³;
- Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, ... and repealing Implementing Decisions 2013/801/EU⁴;
- Commission Decision C(2021)947 of 12 February 2021 delegating powers to the European Climate, Infrastructure and Environment Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of transport and energy infrastructure; climate, energy and mobility research and innovation; environment, nature and biodiversity; transition to low-carbon technologies; and maritime and fisheries;
- Staff Regulations of officials and the Conditions of Employment of other servants of the European Union [Title I, Title III (Chapters 1 and 2)];
- Conditions of Employment of Other Servants of the European Union (CEOS) [Title I, Title II (Chapters 1 and 3), Title IV (Chapters 1, 3, 4 and 5)];
- Steering Committee Decision SC(2015)004 laying down general implementing provisions on the procedure governing the engagement and use of temporary staff under Article 2(f) of the CEOS as regards executive agencies;
- Steering Committee Decision SC(2017)015 - Conditions of Employment of Other Servants of the European Union, governing the conditions of employment of contract staff employed by the Commission under the terms of Articles 3a and 3b thereof;
- Service Level Agreement with DG HR signed on 21/12/2017;
- Service Level Agreement with PMO signed on 05/11/2018;
- Service Level Agreement with OIB signed on 24/08/2011;
- Commission Decision C(2005)458 of 2 March 2005 governing the official traineeships scheme of the European Commission;
- Service Level Agreement with DG EAC signed on 08/11/2018;
- Rules governing in-service traineeship in CINEA;
- Framework contract under which interims can be hired - Contract N° HR/R3/PR/2014/078;

³ OJ L11, 16.1.2003, p. 1

⁴ OJ L 50, 15.2.2021, p. 9–28

1.7. Categories of data subjects

During the selection phase, data subjects are all applicants to a post within the Agency. In the event of changes in the Agency portfolio and in the delegation of EU funded programmes (e.g. transfer to the Agency of a programme managed previously by another Agency, etc.), applicants for posts in the previous Agency having agreed for their data to be transferred to the new Agency are also data subjects.

During the recruitment phase, data subjects are the persons that have passed the selection procedure and that received a job offer, signed a traineeship convention or a contract with interim agency to work as interim agent within the Agency. These include temporary agents, contract agents, bluebook and atypical trainees and any person working as interim agent in CINEA. EU Seconded Officials are detached from their DG in the European Commission to CINEA as temporary agents. Although, there are currently no Seconded National Experts employed in CINEA, they may become data subjects, should they apply & be selected for a post in CINEA as temporary agents.

1.8. Categories of personal data

During the selection phase for temporary and contract agents, the following documents and personal data may be requested from candidates and/or processed:

- Personal data allowing identification of the candidate: title, family name, first name, name at birth, date and place of birth, sex, number and number and validity date of identification document, email address, nationality/citizenship;
- Information provided by the candidate to allow practical organisation of the selection: address, postcode, city, country, telephone numbers, languages for correspondence, and special needs);
- Information provided by the candidate to allow reimbursement of their travel expenses : contribution form, copy of the identification document, legal entity form and financial identification form (with bank account number and bank details);
- Information contained in the CV. The level and type data depends on the amount of information that the candidates provides:
 - Work experience: occupation or positions held, start and end dates, position/title, work regime (full-time or part-time), main activities and responsibilities, name and address of the employer, type of business or sector, possible publications,
 - Education and training information: for each completed course, start and end dates, title of qualification awarded, principal subjects and occupation skills covered, name and type of organisation providing education and training, level in national or international classification),
 - Languages: for each language specified, proficiency (understanding: listening and reading, speaking: spoken interaction and spoken production and writing),
 - Skills and competences: Technical and non-technical skills relevant to the position; organisational, social or other skills,
 - Motivation, strengths and achievements: the motivation to apply and a description of relevant strengths and achievements,
 - References: Contact details of referees;
- Information concerning the assessment and interviews of the candidates:

- Written test, which include the name of the candidate,
- Pre-selection screening tables with the comments of the Selection Committee, Minutes of the Pre-selection and list of candidates to be interviewed signed by Selection Committee members and Appointing Authority,
- Interview Performance grids with collective final comments of the Selection Committee, including an assessment of the candidate's individual performance, which are signed by all members of the Staff Committee and Appointing Authority,
- Reserve list with the names of candidates retained during a given selection;

During the recruitment phase for Temporary Agents and Contract Agents the following documents and personal data may be requested:

- Diplomas, certificates and complementary training (stating the legal duration of the studies);
- For Contract Agents – EPSO letter informing about successfully passed CAST competition;
- For seconded EU official as temporary agents, the nomination act as officials in the Commission and detachment act issued by DG HR.
- An extract from police file or a certificate of good behaviour;
- A passport-size photograph;
- Birth certificate;
- Documents proving nationality;
- Statement of military service;
- Marriage certificate and/or divorce certificate;
- Birth certificates of any children and statement of continuing full-time education for any children attending university;
- Prof of professional experience – contracts of employment and certificated from previous employers stating the length of service, start and end date of remunerated activity and level of responsibility. The contracts should mention whether the professional activity was part-time or full-time. In case there is no other proof of employment, recruitees can provide payslips from previous employments for the determination of their grade.
- Financial identification form and Legal Entity form;
- Document specifying usual place of residence;
- Medical aptitude certificate (obtained from the Medical Service) without any medical information. All medical and health data are retained by the Medical Service and not provided to CINEA.
- AIPN decision with determination of individual rights (obtained from the PMO)
- Travel expenses forms, removal reimbursement forms, installation and/or resettlement allowance forms, daily subsistence allowance proof, any legal decisions which have an impact on salary,
- Ethics declarations concerning activity of spouses, external activities;

Specifically for interim staff:

CINEA is provided by its contractor(s) with the complete curriculum vitae of potential interim staff to be assigned to work in different CINEA's units. CINEA collects and processes the following personal data of interim staff: identification data (name etc.), timesheets, level of function, copy of the ID card. The collection and processing is done both on paper and electronically.

Specifically for bluebook trainees:

The data concerning the applications of Bluebook trainees is managed under the Service Level Agreement with DG EAC. CINEA has access to the database of bluebook trainees for the research of suitable candidates. CINEA does not own the data contained in the database being only a recipient of the data.

The personal data available in this database contains data under the format of CV's submitted by the candidate trainee: name, date of birth, education, professional experience, & linguistic skills. The CV's also include private address and telephone contacts of the candidates to a traineeship as well as contact information of a person to contact in case of emergency.

CINEA keeps only the necessary data to proceed with the selection of the trainee. Data on professional conduct of the trainee during the traineeship. The names of the trainees are kept in the recruitment request form. The Agency does not keep any personal data concerning the bluebook trainees after the end of their traineeship. Certificates and evaluation reports are stored in DG EAC database.

Specifically for atypical trainees:

An application for an in-service traineeship shall include the following:

- Curriculum Vitae, motivation letter stating the reasons for the application and the requested period for the in-service traineeship;
- Passport or identity card, giving the surname, forenames, date and place of birth, as well as the nationality of the applicant;
- Degrees or diplomas held or a statement describing the professional activity in which they are engaged;
- Proof of relevant professional experiences;
- Diplomas or certificates proving the thorough knowledge of one of the official languages of the European Union and a good knowledge of another of these languages;
- Official document issued by the competent authority certifying that the applicant is enjoying the full rights of citizen;
- Declaration on honour of the trainee which certifies that the applicant has not already undertaken more than 6 weeks in-service traineeship with a European Institution or body;
- If recruited, documentary evidence of his/her healthcare insurance.

1.9. Retention time (time limit for keeping the personal data)

[a] Retention period:

CINEA applies the principles and retention periods indicated in Common Retention List of the

Commission⁵ by analogy.

- **Files documenting the organisation of selection procedures - 5 Years**

Files documenting the organisation of competitions: notices of competition, compositions of the selection committee, letter appointing the selection committee, declarations of confidentiality of selection committee members, invitations to meetings, minutes, evaluation sheets, written tests of candidates, instructions for the selection committee, minutes and references to disputes.

- **Files on candidates for posts as contract or temporary staff (eliminated)- 5 years:**

File on each candidate for a temporary or contract agent eliminated during the procedure.

Data stored in the profile of each candidate in the e-recruitment tool will be deleted in the absence of any activity during a period of 5 years. Candidates receive an automated message to update their profile within 15 days. If the update is not done, they are automatically removed from the e-Recruitment tool database.

- **Files on candidates for posts as contract or temporary staff (reserve list)- 2 years:**

File on each candidate for a temporary or contract agent post (application letter, exams, etc) entered in a reserve list or taken on for a specific post. A distinction needs to be made between recruited and non-recruited applicants on the reserve list. The information related to recruited applicants is stored in their personal file. The file of non-recruited applicants is closed once the reserve list is expired.

- **File on trainees – 2 years**

For non-recruited candidates the personal data will be stored for a maximum period of two years. Documents related to recruited candidates are kept in the trainee's personal file, which are kept for two years after the end of the traineeship at the Agency, provided there are no pending claims or any other open issues.

- **File on interim staff – 2 years**

CV's are kept 2 years as from reception.

CINEA does not keep copy of the contracts. The copies of the timesheets are kept as supporting documents for the checking and payment of the invoices (according to the financial regulation, the retention period for files concerning management expenditure is 10 years.

- **Personal files of recruited candidates:**

8 years after the extinction of all rights of the person concerned and of any dependents, and for at least 100 years after the recruitment of the person.

Any document that is important for a staff member career (above all signed originals) must be placed in the relevant personal file

- **Day-to-day management of human resources - 4 years**

Files on staff management, allocation of vacant posts, leave, etc., created for the purposes of sound management of human resources in the department concerned.

b) Storage period:

CINEA applies the principles and retention periods indicated in Common Retention List of the

⁵ SEC (2019) 900/2 - ARES(2019)4374520 – 09/07/2019

Commission⁶ by analogy. The storage periods are the same as indicated for the retention period in point 1.9 a).

c) Is any further processing for historical, statistical or scientific purposes envisaged, which would go beyond the normal retention period ? **No**

1.10. Recipients of the data

Access to the data is granted only if there is a clearly specified administrative purpose, and only to those whose role and level of responsibility require them to have access on a need to know basis. There are strict rules to limit access to personal data. HR staff dealing with the data is bound by confidentiality.

During the **selection phase**:

- The personnel in the HR Unit dealing with the selection;
- In the event of changes in the delegation of management of programmes between Executive Agencies, the relevant staff of the other Executive Agencies (HR , etc.) for their candidates on reserve lists, after their explicit consent on the transfer from one Agency to another;
- If necessary, the personnel in the financial circuit for the reimbursement of travel and accommodation costs linked to the interview (access to);
- The members of the Selection Committee and the heads of the recruiting service;
- The AHCC (Authority Empowered to Conclude Contracts of Employment);

For the **recruitment phase**:

- Only the designated staff dealing with the recruitment file can access and process personal data.
- As appropriate, and in line with the Service Level Agreements in place only the necessary information is transferred to services of the European Commission: the PMO (e.g. for salary slips preparation), the Medical Service (e.g. the pre-recruitment medical visit organisation), OIB for the management of office space, and DG HR for the issuing of service cards and management of accesses to the building.

In addition, data may be disclosed:

- in case of audits or legal proceedings, to the CINEA's Internal Controller, DPO, Legal Sector, etc.

-to public authorities, which are not regarded as recipient but may receive personal data in the frame of a particular inquiry in accordance with Union and Member State law, namely:

- The European Court of Justice or a national judge as well as the lawyers and the agents of the parties in case of a legal procedure;
- The competent Appointing Authority in case of a request or a complaint lodged under Articles 90 of the Staff Regulations;
- IDOC in line with Commission Decision of 12 June 2019 laying down general implementing provisions on the conduct of administrative inquiries and disciplinary proceedings - C(2019)4231 and Commission Decision (EU) 2019/165 of 1 February 2019

⁶ ARES(2019)2627677 – 15/04/2019

Internal rules concerning the provision of information to data subjects and the restriction of certain of their data protections rights in the context of administrative inquiries, pre-disciplinary, disciplinary and suspension proceedings

- OLAF in case of an investigation conducted in application of Regulation (EC) No 1073/1999
- The Internal Audit Service of the Commission within the scope of the tasks entrusted by article 118 of the Financial Regulation and by article 49 of the Regulation (EC) No 1653/2004
- The Court of Auditors within the tasks entrusted to it by Article 287 of the Treaty on the Functioning of the European Union of the EC Treaty and Article 20, paragraph 5 of Regulation (EC) No 58/2003
- The European Ombudsman within the scope of the tasks entrusted to it by Article 228 of the Treaty on the Functioning of the European Union
- The European Data Protection supervisor in accordance with Article 58 of the Regulation (EC) 2018/1725
- The European Public Prosecutor's Office within the scope of Article 4 of Council Regulation (EU) 2017/1939 of 12 October 2017 implementing enhanced cooperation on the establishment of the European Public Prosecutor's Office.

The transmission will be restricted to the information necessary for the competent entity to carry out its task

1.11. Transfers of personal data to third countries or international organisations

Not applicable

1.12. Description of security measures

Personal data of applicants is stored electronically and in paper and accessible only by the authorised personnel for the purposes of the selection and recruitment of candidates on a need to know basis. Access is granted only if there is a clearly specified administrative purpose, and only to those whose role and level of responsibility require them to have access. HR staff having access to the data is bound by confidentiality.

- Electronic archives are kept in CINEA's Human Resources restricted CINEA Shared Drive and Functional mailbox and only accessible by designated staff members. Access to data is safeguarded by the internal European Commission IT systems, which protect against external users accessing the data. Access to electronic files is protected via the Commission security measures (use of password & ECAS authentication system, etc). ;
- Members of the Selection Committee are responsible for maintaining the confidentiality of any documents or electronic files sent to them. They are requested to return, erase or destroy all confidential documents or files received.
- Paper archives for each selection procedure are kept in closed cupboards and the keys are owned by the HR staff member responsible for each selection;
- Archives of the selections are transferred to an archive room with restricted access once the selection procedure is closed;
- For selected candidates, individual personal files are created and stored in secure archives that are accessible only to authorised personnel. An electronic version of the

personal data is stored by the Unit A4 – HR Service and kept confidential with restricted access rights.

Specific measures for the e-Recruitment tool:

- Data entered by the candidates in the e-Recruitment tool is secured and only accessible by authorized staff members of the HR Unit's Staff Recruitment Team and designated Selection Committee members.
- The access to the e-Recruitment tool is done via a secured platform and using an individual password. The access to the personal data is protected by the management of the access rights which are strictly limited to specific user groups. The entitlement is distributed according to the principle of 'the need to know' taking into consideration the function, the job and responsibilities of the applicant for an access right. Consequently, the access rights are continuously updated in accordance with the changes in the assignments of the jobholders.
- The datasets are safeguarded in the Data Centers of the contractor, and therefore covered by the numerous defensive measures implemented to protect the integrity and confidentiality of the electronic assets.
- Candidates have the possibility to request to delete their profile from the e-Recruitment tool database (containing all submitted documents and information related to selection).
- The contract with the processor implementing the e-recruitment tool provides for obligations to ensure data protection (e.g. confidentiality, measures to take in case of data breach, no cloud outside the EU, etc.)

Specific measures for bluebook traineeship:

- The selection of candidates for bluebook traineeship, is done via a secured database owned by DG EAC. Access to data is restricted to the coordinator in CINEA, Heads of unit, advisors and the Director of the Agency on a need to know basis. The access to the database is protected by a password and managed by the traineeship office. Personal data of pre-selected candidates are stored in a database (Virtual Blue Book, "VBB") under the responsibility of the Traineeship Office. This database is accessible to all services of the Commission and to Executive Agencies and provides access to restricted information. Data processing by the Agency concerns only the selection from the VBB. No personal files are opened for trainees.

1.13. Data Protection Notice

A Data Protection Notice (DPN) relevant to this data processing activity is available on the CINEA Intranet and CINEA website: https://cinea.ec.europa.eu/index_fr